

## Math 128B, Wed Mar 10

- ▶ Use a laptop or desktop with a large screen so you can read these words clearly.
- ▶ In general, please turn off your camera and mute yourself.
- ▶ Exception: When we do groupwork, please turn both your camera and mic on. (Groupwork will not be recorded.)
- ▶ Please always have the chat window open to ask questions.
- ▶ Reading for Mon: Ch. 19. (New arc in the book: Fields!)
- ▶ PS05 outline due tonight, full version due Mon Mar 15.
- ▶ Problem session Fri Mar 12, 10am–noon.

# The big picture

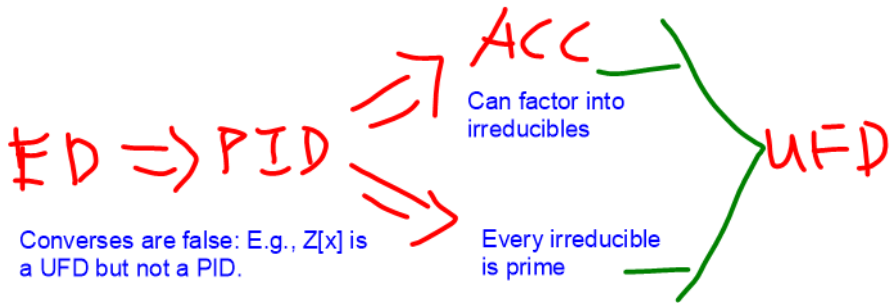
$\not\exists$  prime:  $q \mid \text{div } ab \Rightarrow p \mid a$  or  $p \mid b$   
a irreducible: If  $a = bc$ , then one of  $b, c$  is a unit.

Prime vs. irreducible:

Always: prime  $\Rightarrow$  irreducible

PID: irr  $\Rightarrow$  prime b/c fact not unig.

Euclidean domain, PID, UFD:



# Unique factorization domains (UFDs)

## Definition

$D$  a UFD means  $D$  is a domain such that for  $a \in D$ ,  $a \neq 0$ ,  $a$  not a unit:

- ▶ We have

$$a = p_1 \dots p_k$$

for some irreducibles  $p_i$ .

- ▶ If

$$a = p_1 \dots p_k = q_1 \dots q_s$$

for some irreducibles  $p_i, q_j$ , then  $k = s$  and can rearrange factors s.t.  $p_i$  and  $q_i$  are associates.

$$p_1 \sim q_1, p_2 \sim q_2, \dots$$

Note: How could a factorization not exist?

$$D = \mathbb{Z}[\sqrt{2}, \sqrt[4]{2}, \sqrt[8]{2}, \sqrt[16]{2}, \dots]$$

There!

$\mathbb{Z}$  is UFD:  
 $12 = 2 \cdot 2 \cdot 3$   
 $= (-3)(-2) \cdot 2$

$$2 = \sqrt{2} \sqrt{2}$$

$$= (\sqrt[4]{2})^2$$

$$= (\sqrt[8]{2})^4$$

No such thing  
is irred.  
factoring  
never stops!

3 div 15  
 $\langle 15 \rangle \subset \langle 3 \rangle$

$$\langle \sqrt{2} \rangle \subset \langle \sqrt[4]{2} \rangle \subset \langle \sqrt[8]{2} \rangle \subset \dots$$

ACC fails

# Ascending chain condition (ACC)

## Definition

Domain  $D$  satisfies ACC means: If  $I_1 \subseteq I_2 \subseteq \dots$  is a chain of ideals of  $D$ , then there exists  $k$  such that  $I_k = I_{k+1} = \dots$ .

## Theorem

A PID  $D$  satisfies ACC.

Noetherian ring: Every ideal is \*finitely\* generated.

**Proof:** Suppose  $I_1 \subseteq I_2 \subseteq \dots$  is a chain of ideals of  $D$ . Let  $I = \bigcup_{n=1}^{\infty} I_n$ ; can show that  $I$  is an ideal of  $D$ .

$\forall d \in D$  is PID,  $I = \langle d \rangle$  for  $d \in D$ .  
By defn of union,  $d \in I_k$  for some  $k$ .  
So  $I = \langle d \rangle \subseteq I_k \subseteq I_{k+1} \subseteq \dots \subseteq I$ .  
(C)  $\exists k$  s.t.  $I_k = I_{k+1} = \dots$



some



## PID implies UFD: Factorization exists

Suppose  $a \in D$ ,  $D$  a PID,  $a \neq 0$ ,  $a$  not a unit,  $a$  doesn't factor into irreducibles.

$$\begin{aligned} a &= b_1 b_2 \quad \leftarrow \text{one reducible,} \\ &\quad \text{say } b_1 \\ b_1 &= c_1 c_2 \quad \leftarrow \text{one reducible} \\ c_1 &= d_1 d_2 \quad \leftarrow \text{" " " " } \\ &\vdots \end{aligned}$$

Then:

$$\langle a \rangle \subset \langle b_1 \rangle \subset \langle c_1 \rangle \subset \dots$$

is an infinite ascending chain of ideals that never terminates. Contradiction.

## PID implies UFD: Factorization unique

Suppose  $a \in D$ ,  $D$  a PID,  $a \neq 0$ ,  $a$  not a unit, and

$$a = p_1 \cdots p_k = q_1 \cdots q_s,$$

ind on  $k$

where  $p_i$  and  $q_j$  are irreducibles. Since irreducibles are prime.

$$k=1: a = p = q_1 \cdots q_s$$

$$\text{So } s=1, q_1 = p \checkmark$$

(By defn, irreducibles are not units)

$k > 1$ : IH assume for  $k-1$  irr.

$$a = p_1 \cdots p_{k-1} p_k = q_1 \cdots q_s.$$

$B/C$  irrs prime,  $p_k$  divs

$q_1 \cdots q_s$ ,  $p_k$  div one of  $q_i$ 's,

say  $p_k$  divs  $q_s$ .

$q_s = p_k u$ ;  $B/C$   $q_s$  irr,  $p_k$  not unit,  
 $u$  unit.

So:

$$p_1 \cdots p_{k-1} p_k = q_1 \cdots q_{s-1} p_k u$$

$$\Rightarrow p_1 \cdots p_{k-1} = (u q_1) q_2 \cdots q_{s-1}$$



By ind,  $4-1=3-1$ , and can rearrange as claimed.

If we allow units to be irreducible, the statement of unique factorization is no longer true:



$$\begin{aligned} 12 &= 2 \cdot 2 \cdot 3 = 1 \cdot 2 \cdot 2 \cdot 3 \\ &= (-1)(-1)223 \end{aligned}$$

So we choose the definition of irreducible to avoid this problem.

# Euclidean domains

## Definition

Let  $R$  be a domain. A **size function** on  $R$  is a function  $\sigma : R \rightarrow \mathbf{Z} \cup \{-\infty\}$  such that for all nonzero  $r \in R$ ,  $\sigma(r) \geq 0$  and  $\sigma(r) > \sigma(0)$ .

## Definition

A **Euclidean domain** is a domain  $R$  with a size function  $\sigma$  that satisfies the following axiom: For  $a, d \in R$ ,  $d \neq 0$ , there exist  $q, r \in R$  such that

$$a = qd + r \quad \text{with } \sigma(r) < \sigma(d).$$

$\sigma(0) = 0$  or  $-\infty$

div w/ rem

## Examples:

- ▶  $\mathbf{Z}$ , with  $\sigma(a) = |a|$ .
- ▶  $\mathbf{F}[x]$ , with  $\sigma(f(x)) = \deg f(x)$ . (Take  $\deg 0 = -\infty$ .)
- ▶  $\mathbf{Z}[i] = \{a + bi \mid a, b \in \mathbf{Z}\}$ , with  $\sigma(a + bi) = a^2 + b^2$ .

# ED implies PID

## Theorem

If  $D$  is a Euclidean domain, then  $D$  is a PID.

Proof:

(A)  $D$  is ED

$(\{0\} = \langle 0 \rangle)$

(A)  $I$  ideal of  $D, I \neq \{0\}$

Choose  $k \neq 0$  in  $I$  w/ smallest  $\sigma(d)$ .

(A)  $a \in I$  ED  $\Rightarrow a = dq + r, \sigma(r) < \sigma(d)$

$\Rightarrow r = a - dq \in I$

B/c  $d$  has smallest possible size among nonzero elements of  $I$ , we must have  $r=0$ .

(B)  $a = dq$  for some  $q \in D$ .

(C)  $\exists d \in D$  st.  $I = \langle d \rangle$

(C)  $D$  is PID



$\mathbb{Z}$  and  $F[x]$  are 'the same'

$\mathbb{Z}$	$F[x]$
$\sigma(a) =  a $	$\sigma(f(x)) = \deg f$
Euclidean domain: $a = dq + r,$ $ r  <  d $	Euclidean domain: $a = dq + r,$ $\deg(r) < \deg(d)$
PID: $I = \langle d \rangle,$ $ d $ min over nonzero	PID: $I = \langle d(x) \rangle,$ $\deg d(x)$ min over nonzero
UFD: Every $a \neq 0$ is a unique product of primes (up to assoc and ordering)	UFD: Every $a \neq 0$ is a unique product of irreducibles (up to assoc and ordering)

# Unique factorization in $\mathbf{Z}[x]$

## Theorem

Every nonzero non-unit  $f(x) \in \mathbf{Z}[x]$  can be written **uniquely** as

$$f(x) = b_1 b_2 \cdots b_s p_1(x) p_2(x) \cdots p_m(x),$$

where the  $b_i$  are prime integers and the  $p_j(x)$  are primitive and irreducible over  $\mathbf{Q}$ .

As usual, uniqueness is up to associates (i.e.,  $\pm 1$ ) and order of the factors.

# Why unique factorization works in $\mathbf{Z}[x]$

Enough to prove two things (of independent interest):

1. The irreducible elements of  $\mathbf{Z}[x]$  are prime integers and primitive polynomials that are irreducible over  $\mathbf{Q}$ .
2. Every irreducible of  $\mathbf{Z}[x]$  is prime in  $\mathbf{Z}[x]$ .

# Generalization

## Theorem

*If  $D$  is a UFD, then  $D[x]$  is a UFD.*

Most notably:  $F[x, y]$  is a UFD (but not a PID), and so is  $F[x, y, z]$ ,  $F[w, x, y, z]$ , etc.