

## Math 128B, Wed Feb 10

- ▶ Use a laptop or desktop with a large screen so you can read these words clearly.
- ▶ In general, please turn off your camera and mute yourself.
- ▶ Exception: When we do groupwork, please turn both your camera and mic on. (Groupwork will not be recorded.)
- ▶ Please always have the chat window open to ask questions.
- ▶ Reading for today: Ch. 14. Reading for Mon: Ch. 15 .
- ▶ PS02 outline due tonight, full version due Mon Feb 15.
- ▶ Next problem session Fri Feb 12, 10:00–noon on Zoom.
- ▶ **Exam 1** in 12 days.

# Ideals and the ideal test

## Definition

Let  $A$  be a subring of a ring  $R$ . To say that  $A$  is an **ideal** of  $R$  means that:

**A closed under  $R$ -multiplication, not just  $A$ -multiplication**

for every  $r \in R$ , and not just every  $r \in A$

and every  $a \in A$ , both  $ra$  and  $ar$  are in  $A$ .

## Theorem

**(Ideal test)**

Let  $A \neq \emptyset$  be a subset of a ring  $R$ . Then  $A$  is an **ideal** of  $R$  if and only if the following conditions all hold:

- ▶ (Closed under subtraction) For all  $a, b \in A$ , we have  $a - b \in A$ .
- ▶ (Closed under  $R$ -multiplication) For all  $a \in A$  and  $r \in R$ , we have that  $ra \in A$  and  $ar \in A$ .



## Examples and non-examples

- ▶ Let  $R = \mathbf{C}$  and let  $A = \mathbf{R}$ . Then  $A$  is a subring of  $R$  but  $A$  is not an ideal of  $R$  because:

$$\mathbf{C}$$

polys w/  $R$  coeffs

$$\begin{array}{l} \mathbf{R} \quad \mathbf{C} \quad \mathbf{R} \\ 1 \in \mathbf{R} \\ i \in \mathbf{C} \\ i \cdot 1 = i \notin \mathbf{R} \end{array}$$

- ▶ Let  $R = \mathbf{R}[x]$  and

const term = 0

$$A = \{f(x) \mid f(0) = 0\}.$$

Then  $A = \langle x \rangle$ , which means that  $A$  is a principal ideal (i.e., generated by a single element). It is true but very much not obvious that **every** ideal of  $R = \mathbf{R}[x]$  is principal.

- ▶ Let  $R = \mathbf{Z}[x]$ , and let

(integer coeffs)

const term even

$$A = \{f(x) \mid f(0) \in 2\mathbf{Z}\},$$

(again, all polynomials with even constant term). Then  $A = \langle 2, x \rangle$ , but  $A$  is not principal (again, true but very much not obvious).

In  $R[x]$ , how did we know that  $A = \{f(x) \mid f(0)=0\}$  is  $\langle x \rangle$ ?

\* Right now, experimentation and hard work.

- Experimentation shows that the "simplest" element in  $A$  is  $x$
- Once you guess that  $A = \langle x \rangle$ , you can prove that by set equality proof

\* Eventually, we'll prove that every ideal of  $R[x]$  is principal, and as part of that proof, we'll prove that any nonzero ideal  $A$  of  $R[x]$  is generated by any nonzero element of lowest possible degree.

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Norm subgs. Factor ps  
as  
Ideal. Factor rings

# Factor rings

Given an ideal  $A$  of a ring  $R$ , we can define the factor ring  $R/A$  as follows.

- ▶ **Set:** We define  $R/A$  to be the set of (additive) cosets of  $A$  in  $R$ , i.e.,

$$R/A = \{r + A \mid r \in R\}.$$

- ▶ **Operations:** For  $r, s \in R$ , we define

Define  $+$ ,  $*$  of two cosets by  $+$ ,  $*$  of their coset reps

$$(r + A) + (s + A) = (r + s) + A$$

$$(r + A)(s + A) = (rs) + A.$$

Defn of add gp  $R/A$ .

also mult

We might worry that these operations are not well-defined, but:

## Theorem

*The above is well-defined, and  $R/A$  is a ring.*

Note that if  $R$  is a ring with unity, then the additive and multiplicative identities of  $R/A$  are  $0 + A$  and  $1 + A$ , respectively.

## Proof that factor rings are well-defined

As with groups, the hard part is to prove that the operations are well-defined.

$$(r + A) + (s + A) = (r + s) + A$$

$$(r + A)(s + A) = (rs) + A$$

} already done

Suppose  $r' \in r + A$ ,  $s' \in s + A$

So  $r' = r + a$ ,  $s' = s + b$   $a, b \in A$ .

$$(r' + A)(s' + A)$$

$$= r's' + A$$

$$= (r + a)(s + b) + A$$

$$= rs + \overset{A}{\underbrace{r}} \overset{R}{\underbrace{s}} + \overset{r}{\underbrace{r}} \overset{A}{\underbrace{b}} + \overset{A}{\underbrace{a}} \overset{b}{\underbrace{b}} + A$$

$\underbrace{\hspace{15em}}_{EA}$



$$= rs + A = (r + A)(s + A)$$

An example that turns out to be familiar

$0+A, 1+A, 2+A$

Example:  $R = \mathbb{Z}$ ,  $A = 3\mathbb{Z}$ . Then  $R/A = \mathbb{Z}/3\mathbb{Z}$  has:

$\mathbb{Z}_3 =$

partition

$\mathbb{Z}$

$R/A$

► Elements:

$A = \{ \dots, -9, -6, -3, 0, 3, 6, 9, \dots \}$

$0+A = \{ \dots, -9, -6, -3, 0, 3, 6, 9, \dots \}$

$1+A = \{ \dots, -8, -5, -2, 1, 4, 7, 10, \dots \}$

$2+A = \{ \dots, -7, -4, -1, 2, 5, 8, 11, \dots \}$

} 3  
elts

► Addition:

$(2+A) + (1+A) = 3+A$

$= 0+A$

► Multiplication:

" $2+1=0$  in  $\mathbb{Z}/3\mathbb{Z}$ "

Note:  
 $r+A = s+A$   
 $\Leftrightarrow r=s$

(mod 3)

$(2+A)(2+A) = 4+A = 1+A$

(b/c  
1, 4 in same coset)



Since addition in any ring is commutative ( $r+s=s+r$ ), we see that

$$r+A = A+r$$

$$s+A = A+s$$

$$(r+A)(s+A) = (rs+A) = (A+rs) = (A+r)(A+s)$$

But we don't necessarily have  $rs+A$  equal to  $sr+A$ , b/c mult need not be commutative.

$$\begin{aligned}(1+A)(1+A) &= 1+A \\ &= (2+A)(2+A)\end{aligned}$$

(as in pf)

$$(1+A)(r+A) = 1 \cdot r + A = r+A$$

## Another example that turns out to be familiar

**Example:**  $R = \mathbf{R}[x]$ ,  $A = \langle x^2 + 1 \rangle$ .  $R/A = \mathbf{R}[x]/\langle x^2 + 1 \rangle$  has:

- ▶ **Elements:**  $x^2 + A = -1 + A$ ,  $x^3 + A = -x + A$ , ...

So for any  $f(x) \in \mathbf{R}[x]$ , we can reduce  $f(x) + A$  to  $ax + b + A$ .

$$\text{So } R/A = \{ ax + b + A \mid a, b \in \mathbf{R} \}.$$

- ▶ **Addition:**  
 $(ax + b) + A + (cx + d) + A$   
 $= (a + c)x + (b + d) + A$

- ▶ **Multiplication:**  
 $((ax + b) + A)((cx + d) + A)$   
 $= acx^2 + (ad + bc)x + bd + A$

In general: For  $a \in R$ ,  $R/\langle a \rangle$  is "R after setting  $a = 0$ ".

$$x^2 + 1 \in \langle x^2 + 1 \rangle = A$$

$$\Rightarrow x^2 + 1 + A = A$$

$$x^2 + A = -1 + A$$

So: In  $R/A$ , " $x^2 + 1 = 0$ ", i.e.,  
in  $R/A$ , we set  $x^2 + 1 = 0$ .

So in  $R/A$ , " $x^2 = -1$ "  
i.e., the element  $x+A$  of  $R/A$   
is what we usually call  $i$ .

$$= (ad + bc)x + (bd - ac) + A$$

$$\text{See: } \mathbb{Z}_3[i], \\ \mathbb{Z}_p[i]$$

Point: If you remove  $+A$  and replace  $x$  with  $i$ ,  
we see that  $R[x]/\langle x^2 + 1 \rangle$  is isomorphic to the  
ring of complex numbers.

# Prime and maximal ideals

Let  $R$  be a commutative ring, and let  $A$  be an ideal of  $R$ .

**Defn:** To say that  $A$  is **prime** means that if  $a, b \in R$  and  $ab \in A$ , then either  $a \in A$  or  $b \in A$ .

$$R = \mathbb{Z}, A = p\mathbb{Z}$$

**Defn:** To say that  $A$  is **maximal** means that  $A \neq R$  and if  $B$  is an ideal of  $R$  and  $A \subseteq B \subseteq R$ , then either  $A = B$  or  $B = R$ .



## Examples of prime and maximal ideals

**Ex:** Let  $p \in \mathbf{Z}$  be prime. Then  $\langle p \rangle = p\mathbf{Z}$  is a prime ideal of  $\mathbf{Z}$  because:

**Ex:** But  $\langle p \rangle = p\mathbf{Z}$  is also maximal: Suppose  $p\mathbf{Z} \subseteq B \subseteq \mathbf{Z}$ ,  $B$  is an ideal, and suppose  $b \in B$  is not contained in  $p\mathbf{Z}$ . Then  $b$  is not a multiple of  $p$ , and so  $\gcd(b, p) = 1$ . So by “GCD is a linear combination”:

## But not every prime ideal is maximal

**Ex:** Let  $R = \mathbf{Z}[x]$  and let  $A = \langle x \rangle = \{f(x) \in \mathbf{Z}[x] \mid f(0) = 0\}$ .  
Then  $A$  is a prime ideal:

But  $A$  is not maximal, since  $A \subset \langle 2, x \rangle \subset \mathbf{Z}[x]$ .

## $A$ is prime if and only if $R/A$ is an integral domain

Let  $R$  be a commutative ring with unity and let  $A$  be an ideal of  $R$ . TFAE:

1.  $A$  is prime.
2.  $R/A$  is an integral domain.

## $A$ is maximal if and only if $R/A$ is a field

Let  $R$  be a commutative ring with unity and let  $A$  be an ideal of  $R$ . TFAE:

1.  $A$  is maximal.
2.  $R/A$  is a field.