### Math 127, Wed Mar 17

- Use a laptop or desktop with a large screen so you can read these words clearly.
- In general, please turn off your camera and mute yourself.
- Exception: When we do groupwork, please turn both your camera and mic on. (Groupwork will not be recorded.)
- Please always have the chat window open to ask questions.
- ▶ Reading for today: 6.4, 7.1. For Mon: 7.2–7.3.
- PS06 outline due Wed night, full version due Mon.
- ▶ Problem session Fri Mar 19, 10am–noon.
- ► Exam 2 in one week, on 3.5–3.6, 4.2–4.3, 5.3–5.6, and 6.1–6.4 (PS04–06). Review session Mon night (recorded to YouTube).

## The Hamming 7-code $\mathcal{H}_7$

 $\mathcal{H}_7$  is the nullspace of the parity check matrix

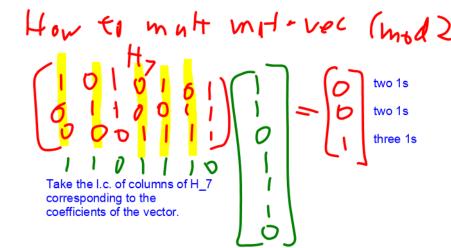
$$H_7 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$
. Col i of H\_7 is the binary digits of the number i (written backwards/upwards).

▶ Data bits  $x_3$ ,  $x_5$ ,  $x_6$ , and  $x_7$ , and

$$x_1 = x_3 + x_5 + x_7$$
  
 $x_2 = x_3 + x_6 + x_7$   
 $x_4 = x_5 + x_6 + x_7$ .

- Transmit x, receive y.
- Let  $\mathbf{s} = H_7 \mathbf{y} \in \mathbf{F}_2^3$ . syndrome of y
  If  $\mathbf{s} = \mathbf{0}$ ,  $\mathbf{y} \in \mathcal{H}_7$ ;
  else  $\mathbf{s}$  is binary digit of bit to correct.

Msg. 0(10 X=0+1+0=1 An example Fix bit 4.



### Extension: The Hamming 8-code $\mathcal{H}_8$

 $\mathcal{H}_8$  is defined to be the nullspace of the parity check matrix

Note:

So to be consistent with the Hamming 7-code, we write  $\mathbf{x} \in \mathcal{H}_8$  as

$$\mathbf{x} = \begin{bmatrix} x_0 \\ x_1 \\ \vdots \\ x_7 \end{bmatrix}.$$
 So the last three rows of parity check matrix H\_8 say precisely that bits x\_1...x\_7 are a codeword from Hamming 7.

# Key properties of $\mathcal{H}_8$

#### **Theorem**

The Hamming 8-code  $\mathcal{H}_8$  is the Hamming 7-code  $\mathcal{H}_7$ , extended by a parity check bit  $x_0$ ; and  $\mathcal{H}_8$  corrects 1 error and detects 2 errors. See PS06.

Hamming 8-code is often used in ECC plug-in memory:



#### Generalizations?

Can we find other, similar codes, but maybe better?

#### Definition

An [n, k, d] binary code is a binary linear code C such that:

- ► C has length n; # of bits in each codeword # of vectors in a basis for C
- $ightharpoonup \dim \mathcal{C} = k$ ; and # of vectors in a pasis for  $\mathcal{C}$  = # of data bits in the message m
- ightharpoonup d is the smallest number of nonzero coordinates appearing in a nonzero codeword of C.

The numbers n, k, and d are called the **length**, **dimension**, and **minimum distance** of respectively.

### **Examples**

Example: Parity check code of length 
$$n+1$$
.

high dim, less EC

Example: Repetition code of length  $n$ .

low dim, more EC

Example: Hamming code  $\mathcal{H}_7$ .

 $(7,4,3)$ 

Example: Hamming code  $\mathcal{H}_8$ .

 $(8,4,4)$ 

# An IDEA: Look at a code using geometry

Ex: 
$$x = \frac{1100101}{y = 0011011}$$

Definition

So 
$$d(x,y) = 6$$
.

 $x, y \in F_2^n$ ; Hamming distance between x and y is:

 $d(\mathbf{x}, \mathbf{y}) =$ the number of coordinates in which  $\mathbf{x}$  and  $\mathbf{y}$  differ

= the number of nonzero coordinates in  $\mathbf{x} - \mathbf{y}$ 

= the number of coordinate changes needed to go from  ${\bf x}$  to  ${\bf y}$ .

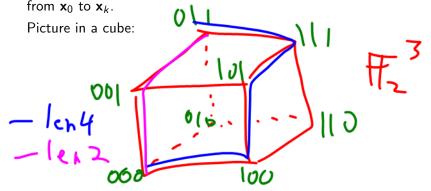
**Hamming weight** wt(x) is the number of nonzero coordinates of x, i.e.:

$$wt(\mathbf{x}) = d(\mathbf{x}, 0),$$
  $d(\mathbf{x}, \mathbf{y}) = wt(\mathbf{x} - \mathbf{y}).$ 

### Why is Hamming distance a distance?

#### Definition

A **Hamming path of length** k in  $\mathbf{F}_2^n$  is a sequence  $\mathbf{x}_0, \mathbf{x}_1, \dots, \mathbf{x}_k \in \mathbf{F}_2^n$  such that for  $1 \leq i \leq k$ , the vectors  $\mathbf{x}_{i-1}$  and  $\mathbf{x}_i$  differ in exactly one coordinate (i.e.,  $\mathbf{x}_i - \mathbf{x}_{i-1}$  has exactly one nonzero coordinate). We also say that the path  $\mathbf{x}_0, \mathbf{x}_1, \dots, \mathbf{x}_k$  goes



### Hamming distance is a path distance

#### **Theorem**

For  $\mathbf{x}, \mathbf{y} \in \mathbf{F}_2^n$ , the Hamming distance  $d(\mathbf{x}, \mathbf{y})$  is precisely the length of a shortest Hamming path from  $\mathbf{x}$  to  $\mathbf{y}$ .

#### **Proof:**

Can only change one coord in each step of a Hamming path, so the length of a Hamming path from x to y is at least d(x,y).

Conversely, by changing one coordinate at a time, we can get from x to y in a path of length d(x,y).

**Consequence:** Distances to any codeword  $\mathbf{x}$  are same as distances to  $\mathbf{0}$ , so if d is **minimum distance** 

$$d = \min \left\{ \operatorname{wt}(\mathbf{x}) \mid \mathbf{x} \in \mathcal{C} \right\},$$

then d is smallest distance between any two codewords in C.



### Hamming distance is a metric

#### **Definition**

A **metric** on a set X is a function  $d: X \times X \to \mathbf{R}$  (i.e., two inputs in X, output is a real number) that satisfies the following four axioms for all  $x, y, z \in X$ :

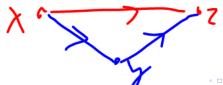
- 1.  $d(x, y) \ge 0$ .
- 2. d(x,y) = 0 if and only if x = y.
- 3. d(x, y) = d(y, x).
- 4. (Triangle inequality)  $d(x, z) \le d(x, y) + d(y, z)$ .

No shortcuts thru third location y.

#### **Theorem**

Hamming distance  $d(\mathbf{x}, \mathbf{y})$  is a metric on  $\mathbf{F}_2^n$ .

#### **Proof of triangle:**



If the red path is shortest path from x to z, then d(x,y)+d(y,z) can't be d(x,z), otherwise there would be a shorter path.

(Lookup table algorithm)

Xavier transmits x, Yolanda receives y.

- If there is a unique  $\mathbf{y}' \in \mathcal{C}$  such that  $d(\mathbf{y}, \mathbf{y}')$  is minimized, we correct  $\mathbf{y}$  to  $\mathbf{y}'$ . (E.g., if  $\mathbf{y} \in \mathcal{C}$ , then  $\mathbf{y}' = \mathbf{y}$  minimizes  $d(\mathbf{y}, \mathbf{y}')$ , as  $d(\mathbf{y}, \mathbf{y}) = 0$ .)
- ▶ If there is more than one vector  $\mathbf{y}' \in \mathcal{C}$  such that  $d(\mathbf{y}, \mathbf{y}')$  is minimized, we state that  $\mathbf{y}$  has been detected as an erroneous transmission, but cannot be corrected.

#### **Theorem**

Let  $\mathcal C$  be a binary linear code with minimum distance d. Then the nearest neighbor method, applied to  $\mathcal C$ , corrects  $\lfloor (d-1)/2 \rfloor$  errors and detects  $\lfloor d/2 \rfloor$  errors.

**Proof of correction:** Assume d = 2k + 1, so k = (d - 1)/2.

At most k errors going from x to y

But shortest dist between two codewords is at most 2k+1. So nearest nbr x unique.

#### Ideals

Maybe the most important definition in ring theory:

#### Definition

Let R be a (commutative) ring. An **ideal** of R is  $I \subseteq R$  s.t.:

- 1. (Zero) The zero element of R is contained in I.
- 2. (Closed under addition) If  $x, y \in I$ , then  $x + y \in I$ .
- 3. (Closed under *R*-multiplication) If  $x \in I$  and  $r \in R$ , then  $rx \in I$ .

#### For a ring R:

- ▶ The set {0} is an ideal of R called the **zero ideal**.
- R is an ideal of itself.

### More interesting examples

Let  $R = \mathbf{Z}$ ,  $I = \{3n \mid n \in \mathbf{Z}\}$ .

### Classes of examples

R a ring.

▶ For fixed  $a \in R$ , the set

$$(a) = \{ ra \mid r \in R \}$$

is called the **principal ideal generated by** a.

▶ For fixed  $a, b \in R$ , the set

$$(a,b) = \{ra + sb \mid r,s \in R\}$$

is called the **ideal generated by** a **and** b.

▶ For F a field and  $a \in F$ , the set

$$I_a = \{ f(x) \in F[x] \mid f(a) = 0 \}$$

is an ideal of F[x].